



# Physics Justification for an ATLAS Phase I Upgrade

DOE/NSF Briefing

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Letter Of Intent Approved by ATLAS Collaboration on Feb. 3, 2012  
<http://cdsweb.cern.ch/record/1402470>

# Luminosity Evolution



## ■ 2011:

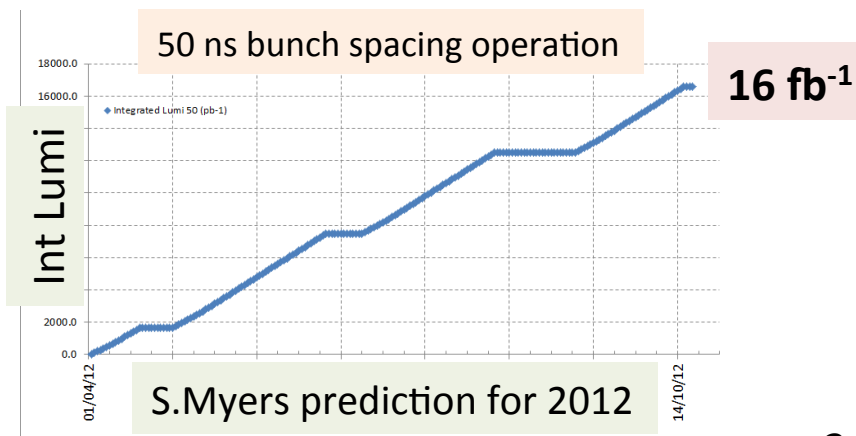
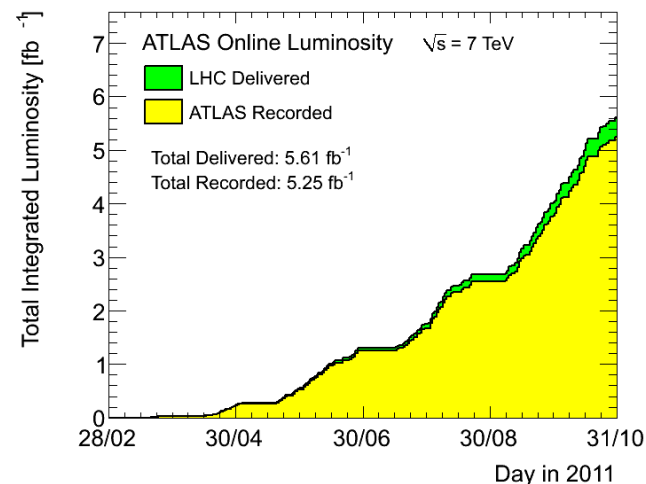
- Peak luminosities at  $\sim 3 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ 
  - With 50 ns bunch spacing and  $\sqrt{s} = 7 \text{ TeV}$
- Integrated Luminosity delivered =  $5.6 \text{ fb}^{-1}$
- **Delivering beyond expectations!**

## ■ 2012:

- Expect peak luminosities  $\sim 7 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ 
  - Continue with 50 ns bunch spacing
  - Increase  $\sqrt{s} = 8 \text{ TeV}$
  - Decisions at Chamonix workshop in January 2012
- Integrated Luminosity:  $\sim 15 - 20 \text{ fb}^{-1}$  per experiment.

## ■ 2013-2014:

- Phase 0 shutdown, Upgrades incl:
- IBL, Beam pipe, LVPS, shielding, EE muon chambers, cooling/cryo, FTK demo, TDAQ work, LAr/Trigger demo, & several other maintenance.



# Luminosity Evolution (2)

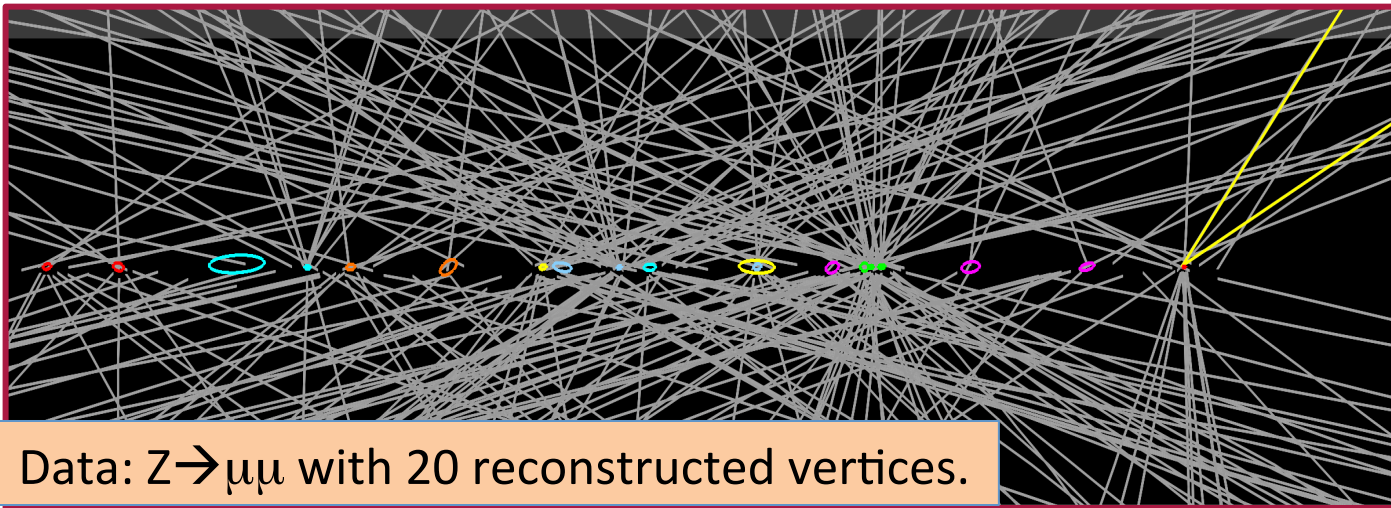


- 2015 – 2017:
  - Expect operation at or close to design parameters:
  - Peak Luminosity  $\sim 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$
  - 25 or 50 ns bunch spacing
  - $\sqrt{s} \sim 14 \text{ TeV}$
- 2018: Phase 1 Shutdown
- 2019 – 2021:
  - Expect operations at beyond the design parameters
  - Peak Luminosity :  $2 - 3 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$  at 25 ns bunch spacing operation
  - Integrated Luminosity:  $300 - 400 \text{ fb}^{-1}$
  - Multiple interactions per crossing : 50 – 80 with 25 ns bunch spacing.
  - Need to ensure we have a margin for operations so we can handle beyond these expected conditions.

# The (50) million dollar question:



- Can the current system handle the increasing luminosity and pile-up conditions post-Phase 1 shutdown?
  - Preserving the physics of interest.
  - Recall:  $2\text{--}3 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$  with 50-80 interactions/crossing.



If not?

What are the limitations?

What cost-effective upgrades can ensure that we maximize the physics reach of ATLAS?

# What is the physics of interest?



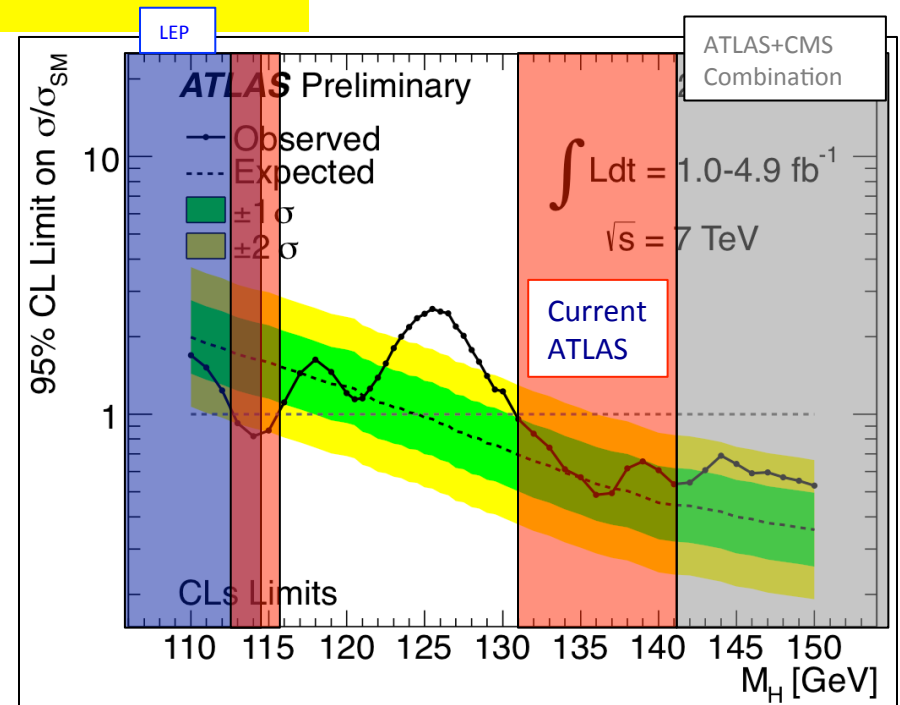
A Standard Model Higgs would have either been:  
-- Discovered or ruled out by 2018.

## Higgs discovered scenario

- Precision measurements of its mass, width, production rates, branching ratios and couplings to fermions/bosons
- Using possibly rare processes such as  $WH \rightarrow \ell \nu b \bar{b}$

## SM Higgs ruled out

Unitarity violation in WW scattering, which may reveal the principal mechanism of Electroweak symmetry breaking.



Both require low lepton thresholds  
for an efficient Higgs trigger

# Searches for Supersymmetry



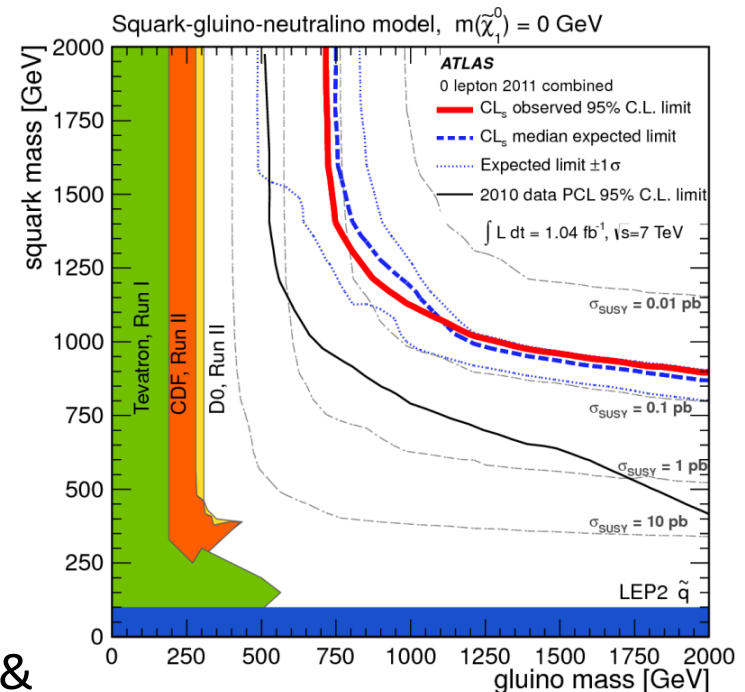
## ■ Current LHC SUSY constraints:

$$m(\tilde{q}), m(\tilde{g}) > \sim 1 \text{ TeV}$$

$$\text{for } m(\tilde{\chi}_0^1) = 0 \text{ GeV}$$

## ■ Targeted signatures with $300 \text{ fb}^{-1}$ :

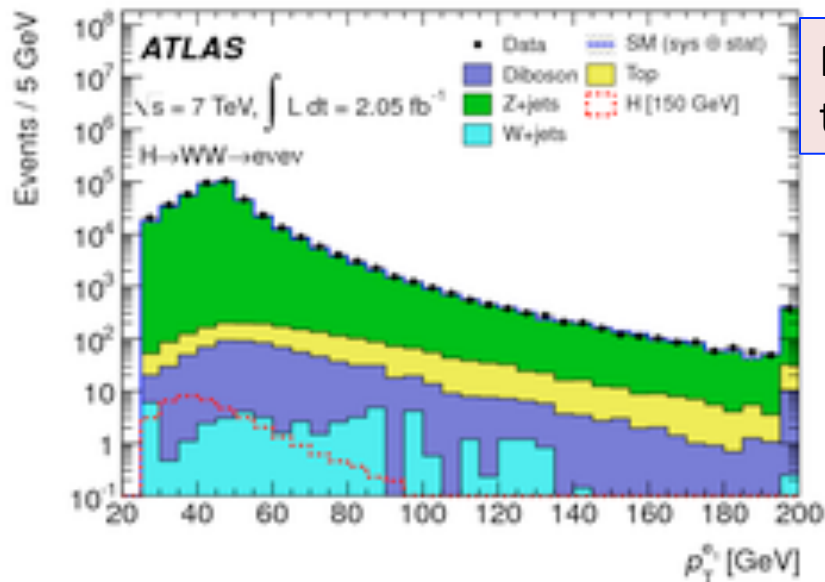
- strong production:
  - squark and gluino cascade decay
  - stop & sbottom production
    - All involving jets, Missing  $E_T$  & possible additional leptons.
- Electroweak production:
  - Direct slepton and gaugino production involving multi-leptons and Missing  $E_T$ .



If SUSY is discovered before Phase 1: Need for precision measurements will dominate the priorities.

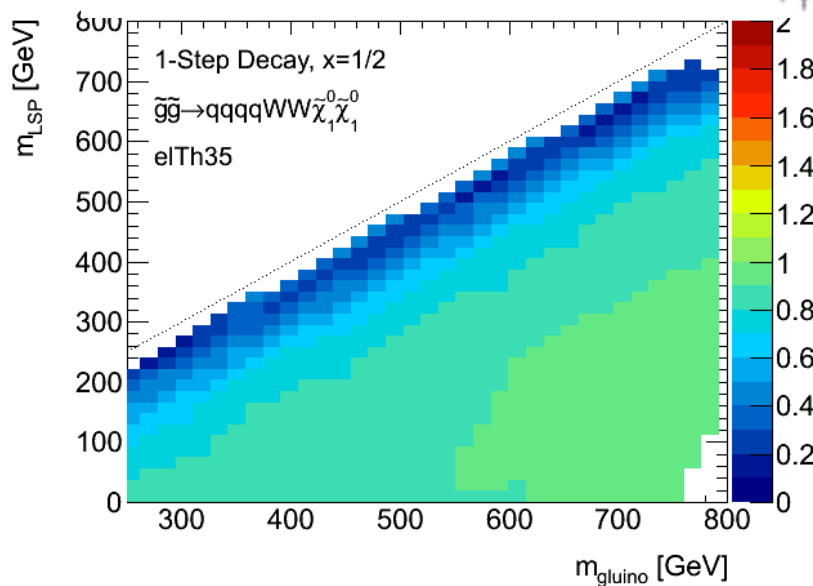
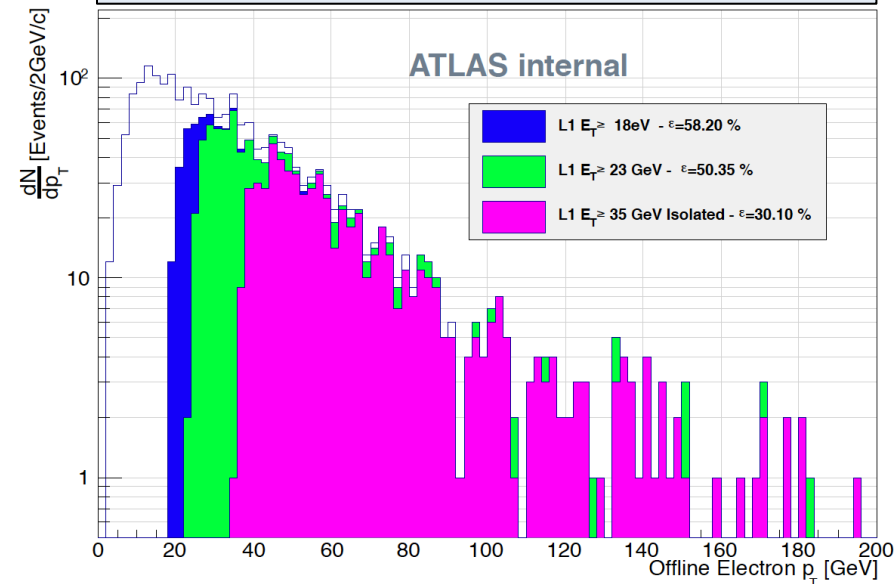
Requires low lepton and jet thresholds  
To improve acceptance

# Acceptance to low $P_T$ leptons essential



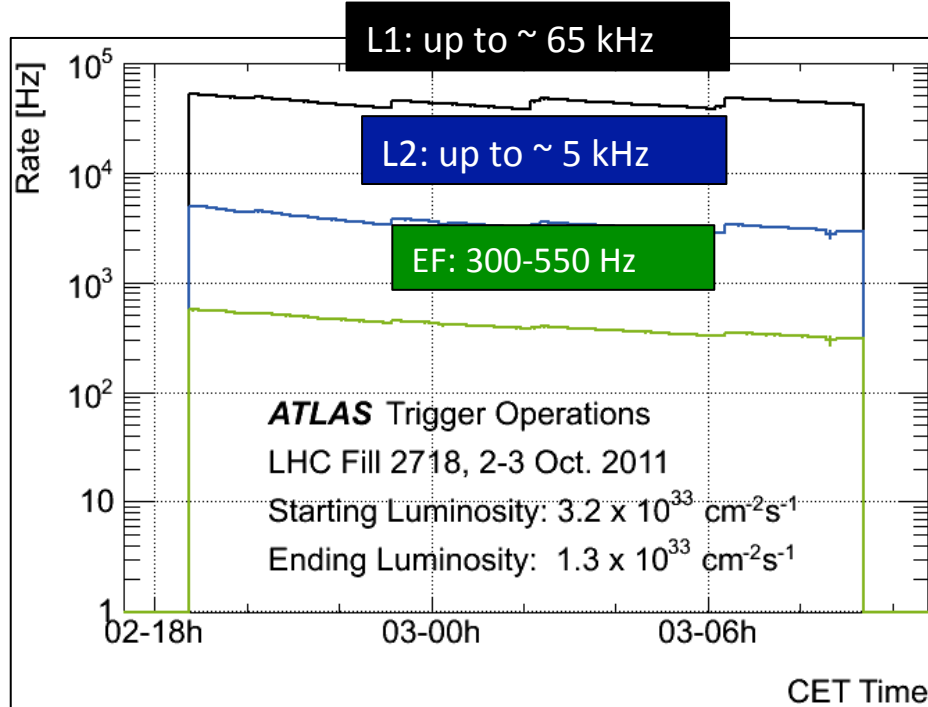
$P_T(\text{leading lep})$  observed in data compared to SM backgrounds and  $H(150 \text{ GeV}) \rightarrow WW$

$P_T(\text{lep})$  from tau decays in  $H(130) \rightarrow \tau\tau$  for increasing  $P_T$  trigger thresholds



$$\frac{\text{Eff } [P_T(\text{lep}) > 35 \text{ GeV}]}{\text{Eff } [P_T(\text{lep}) > 25 \text{ GeV}]}$$

# Can we maintain acceptance to low $P_T$ leptons?



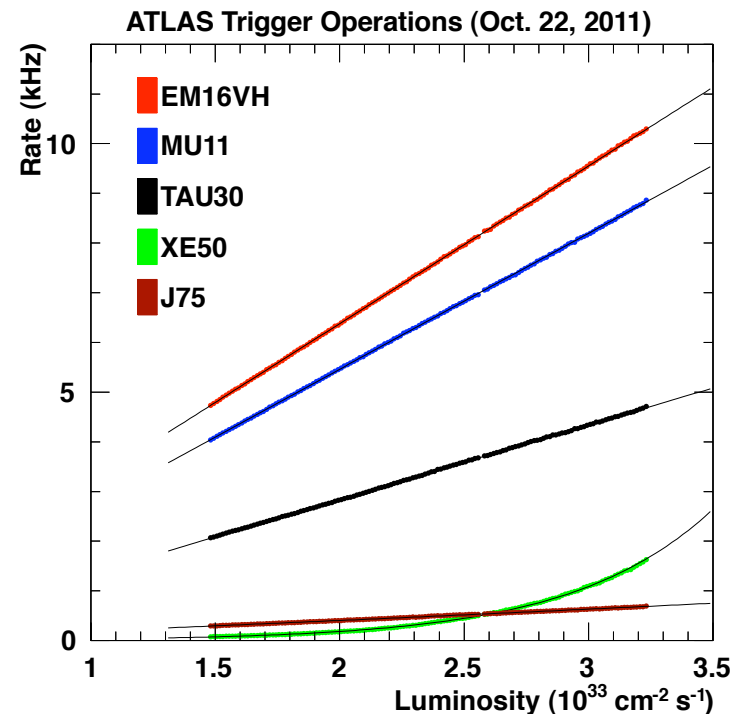
**L1 total output rate limited to 75(100) kHz**

Lowest single electron and muon trigger each use 10-20 % of this bandwidth.

i.e. EM16 (iso) is  $\sim 10 \text{ kHz}$  at  $3 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$   
 & MU11 is  $8 \text{ kHz}$  at  $3 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$

Rates for most triggers increasing linearly with luminosity except Missing  $E_T$  (XE) and total scalar  $E_T$  (TE) triggers

Projected single lepton rate at  $2 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ :  
 Single isolated EM cluster  $> 23 \text{ GeV}$ :  $\sim 80 \text{ kHz}$   
 Single Muon  $> 20 \text{ GeV}$ :  $\sim 40 \text{ kHz}$

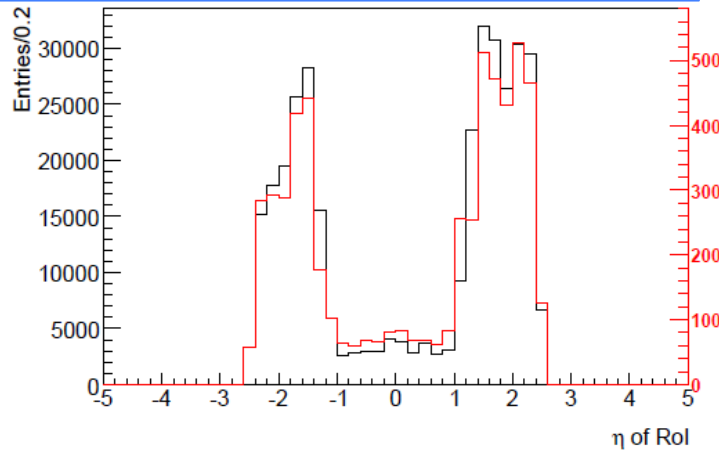




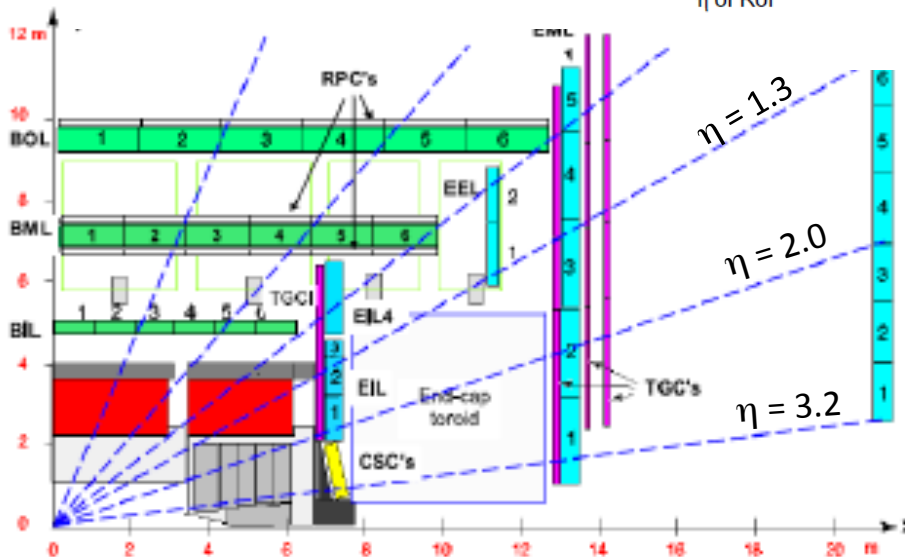
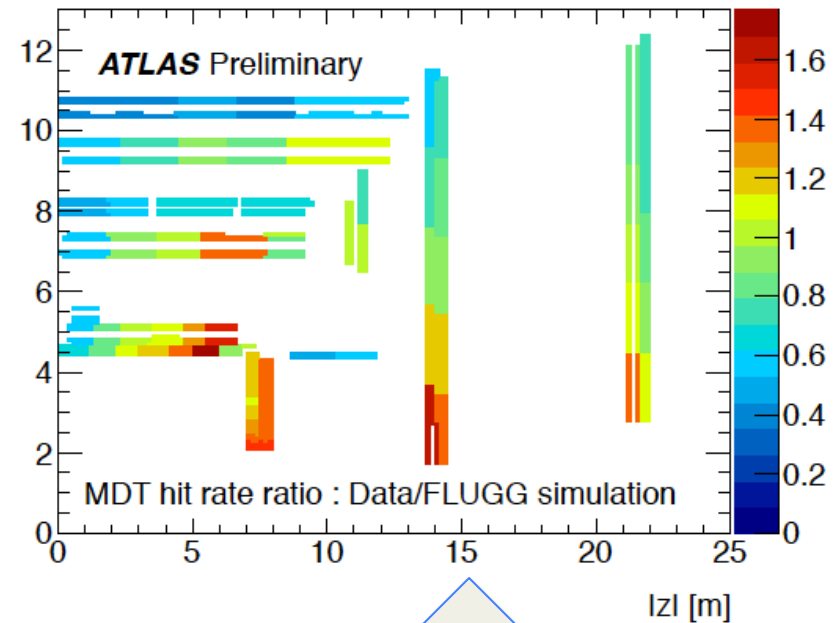
# Fake rates are also on the rise:



Muon 20 GeV trigger rate vs Rapidity



$r$  [m]



Ratio of measured to simulated  
Muon Drift Chamber (MDT)  
Hit rate during 2011 7 TeV run

# The Phase 1 Upgrade



- Ensure that we can continue to use low  $P_T$  thresholds that allows us to keep physics acceptance high and trigger rates in check: The central focus is therefore the trigger.
- Principal Components of the Phase 1 Upgrade:
  - A finer granularity calorimeter trigger readout
    - Allows additional rejection against jets by using finer cluster shape information at Level 1.
  - New small wheel (NSW) muon chambers ( $1.3 < |\eta| < 2.7$ )
    - Substantial reduction of fakes by providing an additional trigger plane (& pointing to 1 mrad) in the forward region.
  - Topological Processors
    - Selection using the topology of identified objects in an event.

# Principal Components (2)



- **The Fast Track Trigger (FTK)**
  - Provides rapid computation of all tracks in all events accepted by Level 1 and is used in High Level Trigger (HLT).
  - This project is approved and funded by NSF/MRI.
  - Not discussed further in this presentation
- **Other Trigger Upgrades**
  - Central Trigger Processor (CTP), High Level Trigger (HLT), Level 1 interfaces to DAQ/HLT, and Online Computing upgrades.
- **Forward Physics Detector (AFP)**
  - Allows tagging of forward tagged protons that provides sensitivity to new physics – including anomalous coupling between  $\gamma$  and  $W/Z$  bosons; and diffractive physics beyond the kinematical range of HERA, Tevatron.
  - Inclusion of an ATLAS Forward Proton Detector (AFP)

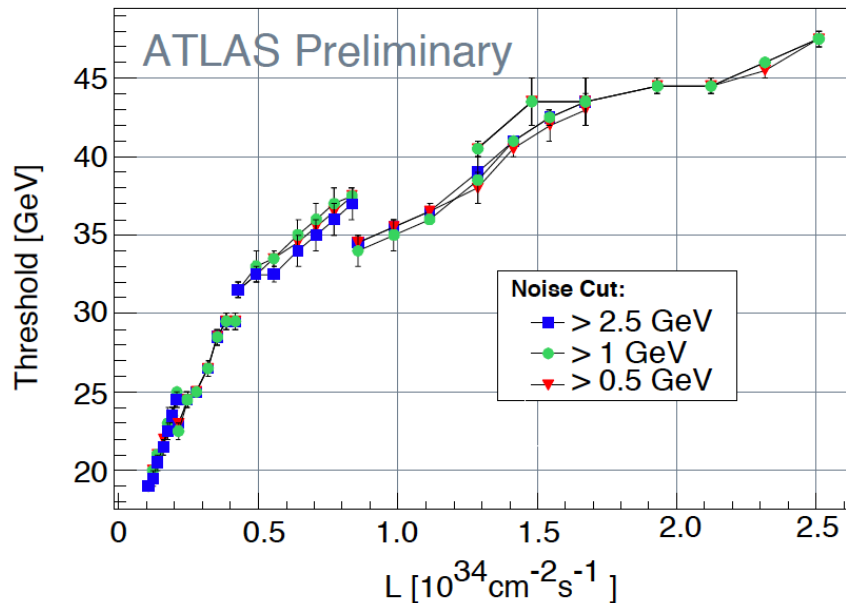
# Finer Granularity Calorimeter Trigger Readout



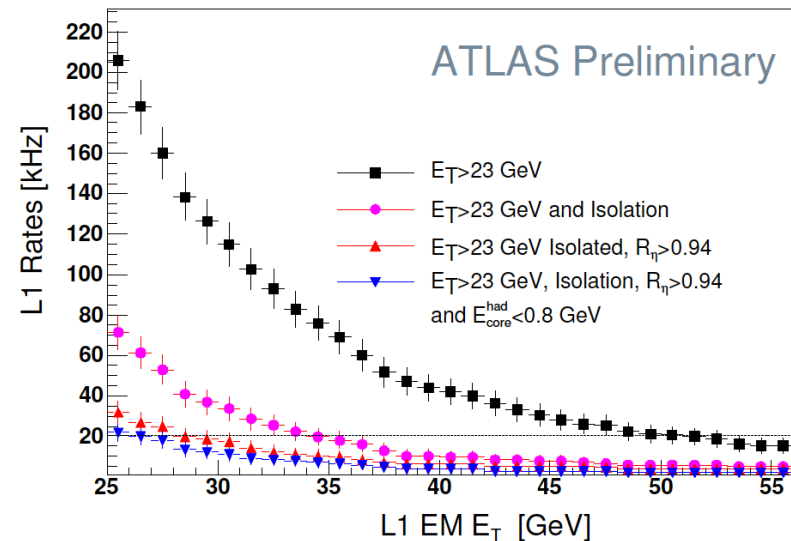
- The current Level 1 calorimeter trigger uses:
  - Transverse Energy thresholds based on  $\Delta\eta \times \Delta\phi = 0.1 \times 0.1$  trigger towers
  - Lateral or Longitudinal isolation
  - No direction or sampling level information available.
- A finer granularity trigger readout allows for computation of lateral and longitudinal shower shapes in each sampling of the calorimeter.
  - Provides the much needed additional rejection against QCD background at Level 1
  - Lower thresholds + shape cuts provides better physics acceptance for the same trigger rate than higher thresholds.
- An efficient cut that is used is  $R_\eta$ , the lateral profile in the second sampling around the hottest cell:

$$R_\eta = \frac{E(\Delta\eta \times \Delta\phi = 3 \times 8 \text{ cells})}{E(\Delta\eta \times \Delta\phi = 7 \times 8 \text{ cells})}$$

# Rate reduction achievable using finer granularity



Predicted  $E_T$  threshold at Level 1 for non-isolated EM objects as a function of luminosity ( $L$ ) that will yield a 20 kHz output rate.



Level 1 Trigger Rate estimated using simulation as a function of  $E_T$  threshold at  $2 \times 10^{34} \text{cm}^{-2} \text{s}^{-1}$  with various additional selections, the red and the blue achievable only with an Upgrade



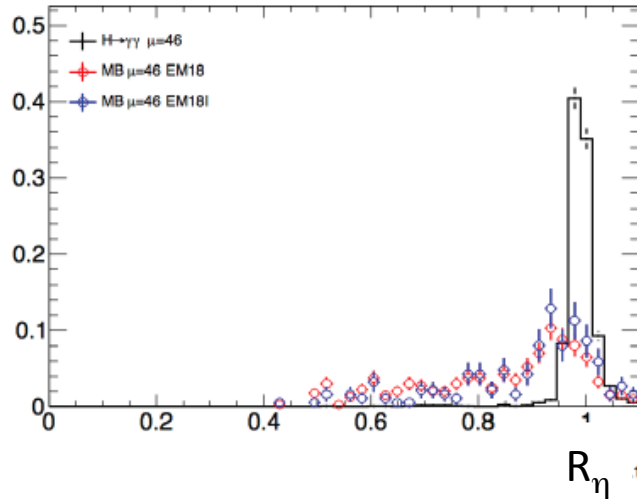
A study of WH associated production:  
 $H \rightarrow \gamma\gamma, b\bar{b}$  or  $\tau\tau$  &  $W \rightarrow e\nu$   
that relies on a single EM cluster trigger

Trigger	Shape Cuts	Eff (WH)%	Rate (kHz)
EM23	-	93.5	212
EM23I	-	91.7	81
EM35	-	72.8	54
EM35I	-	71.3	16
EM23I	$R_\eta > 0.94$	90	28
EM23I	$R_\eta > 0.94$ + Had. Isolation	88	23

**Conclusion: The use of shower shape cuts at Level 1 maintains high physics acceptance at affordable trigger rates.**



# A study of $H \rightarrow \gamma\gamma$ that relies on a di-EM cluster trigger at Level 1



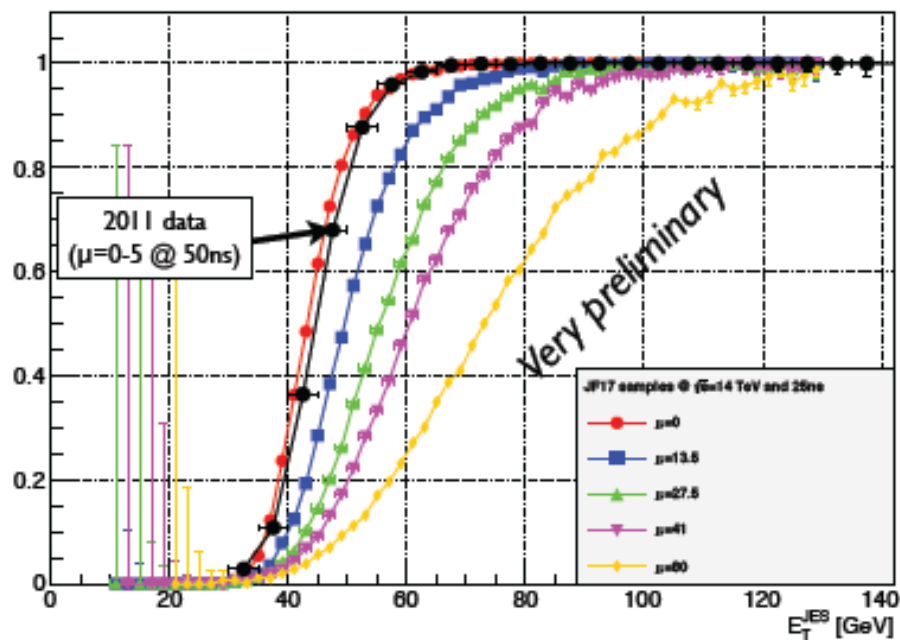
Black:  $R_\eta$  distribution for  $\gamma$  in  $H \rightarrow \gamma\gamma$  events

Red/Blue:  $R_\eta$  distribution for EM clusters in minimum bias events.

Trigger	$R_\eta$	Eff (WH)%	Rate (kHz)
2EM20	-	99.8	12.6
2EM20I	-	96.7	5.0
2EM20	0.80	99.6	4.9
2EM18	0.94	97.9	0.9

**Conclusion: The use of shower shape cuts at Level 1 lowers output trigger rates keeping high physics acceptance.**

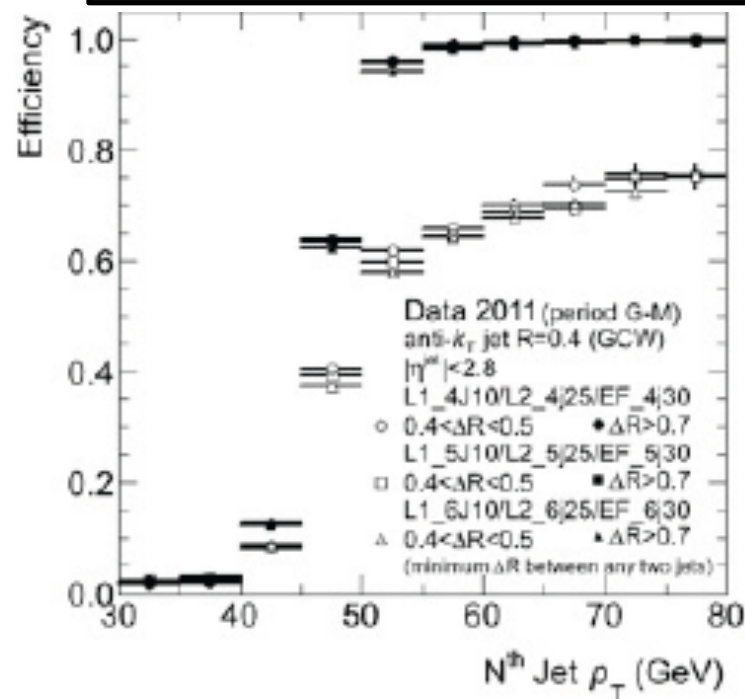
# Potential improvements for Jets?



20 GeV Jet Trigger Efficiency  
For different pile-up conditions

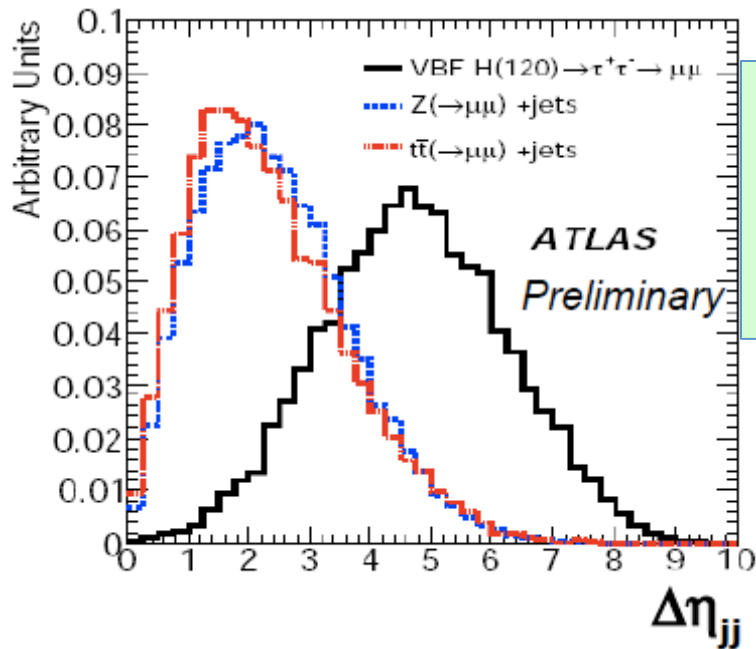
Studies ongoing: Possibility of deploying Sophisticated algorithms at L1 (instead of Cone based) to overcome this.

Multi-Jet trigger Efficiency with  
different angular separation





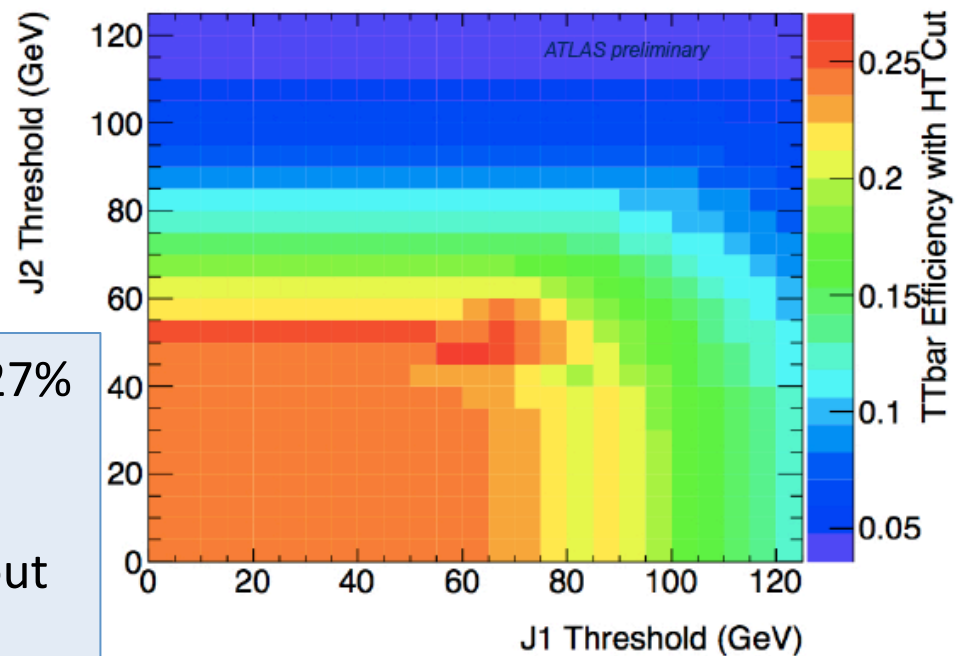
# The potential capabilities of a topological processor at Level 1:



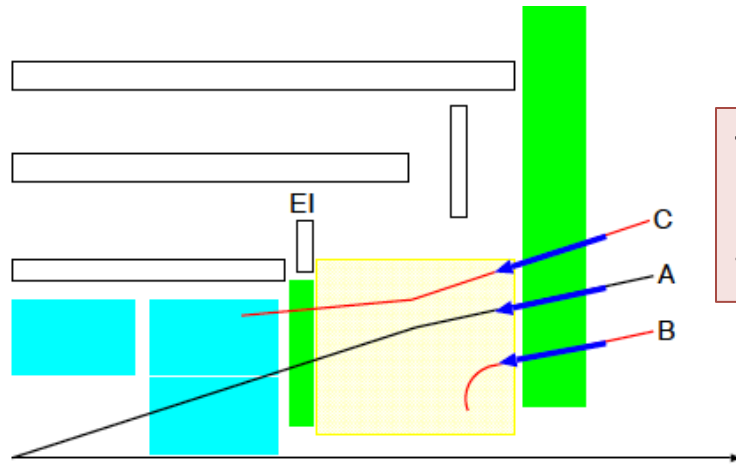
Rapidity separation between jets in VBF Higgs Production compared to some SM processes  
Currently deployed in ATLAS at HLT,  
➤ where it provides a rejection  $\sim 300$ .

Efficiency of  $t\bar{t}$  improves from 19% to 27% when using an  $H_T$  (Sum Jet  $E_T$ ) cut at Level 1.

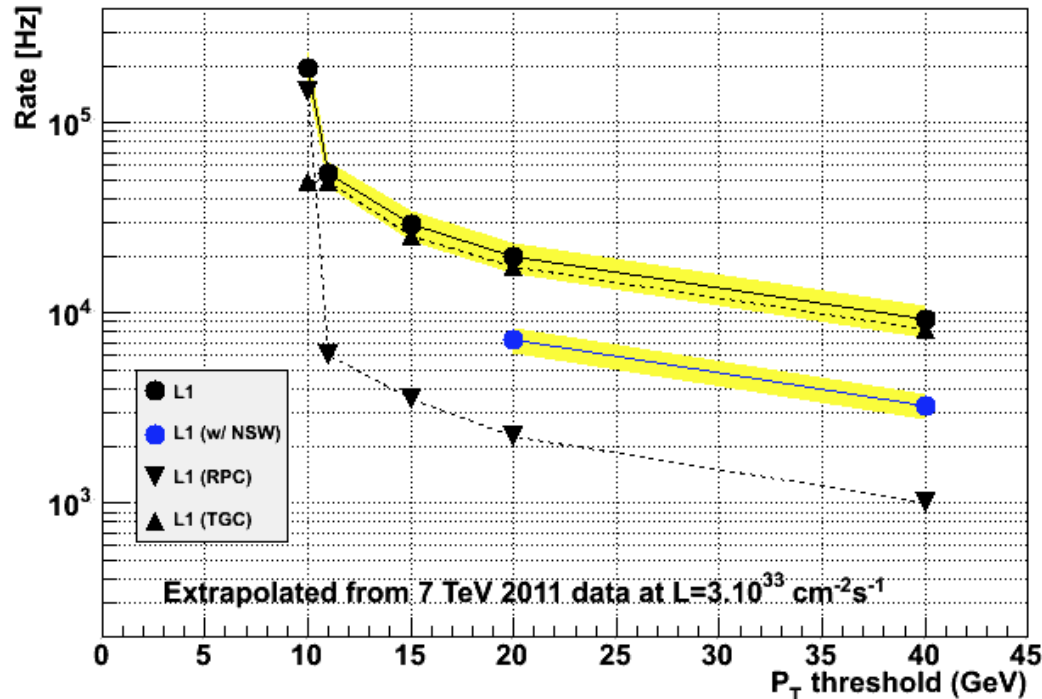
Requires finer granularity digital readout of hadronic layers.



# Rate reductions achievable using NSW



Track A is a high  $P_T$  muon originating from the interaction point. Track B & C are backgrounds that can be removed using the NSW chambers





A study of WH associated production:  
 $H \rightarrow \gamma\gamma, b\bar{b}$  or  $\tau\tau$  &  $W \rightarrow \mu\nu$   
that relies on a single muon trigger at Level 1

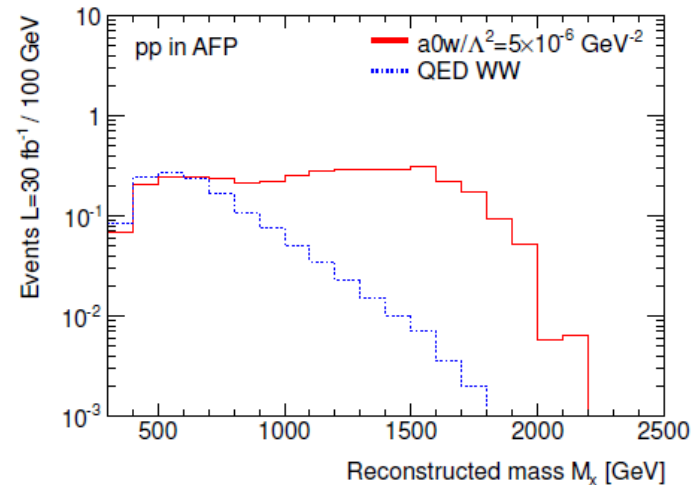
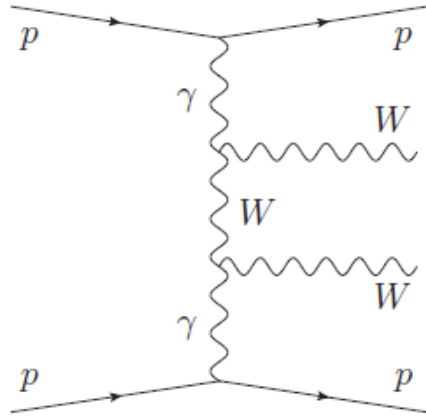
Trigger	Efficiency (%)	Rate (kHz)
$p_T(\mu) > 20 \text{ GeV}$	82	40
$p_T(\mu) > 40 \text{ GeV}$	50	15
$p_T(\mu) > 20 \text{ GeV}$ with NSW	78	18

**Conclusion: The use of new small wheel (NSW) chambers at Level 1 maintains high physics acceptance at affordable trigger rates.**

# Physics with AFP



Tag two forward protons to either look at  $\gamma\gamma \rightarrow WW$ ,  
or diffractive Pomeron-Pomeron exchange  
Good timing resolution (10 ps) essential to overcome pile-up issues.



By looking at the reconstructed recoil mass of  $WW$ , one can reach high sensitivity to new quartic  $\gamma\gamma WW$  couplings providing a probe into new physics beyond the Standard Model.

# Conclusion



As the recent Higgs results from LHC have demonstrated:

- **Every event counts!** Not just for discovery but for precision measurements too: It has taken the Tevatron over a decade to precisely characterize the top quark after its discovery.
- Running longer is not a cost-effective solution.

The current detector cannot operate in post-Phase 1 era without severely compromising the physics capabilities

The Phase 1 upgrades, proposed based on our experience with the ongoing run and extrapolations to future beam conditions **will** restore and enhance the physics capabilities of ATLAS.

The U.S. holds intellectual and leadership roles based on past and current experience and extensive R&D investments.

The subsequent speakers will lay out the scope of the proposed upgrade activities, U.S. interests and cost estimates.

**The time has now come to embark on this upgrade path.**